

BEST AVAILABLE COPY

S/N Unknown

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hashem Mohammad Ebrahimi et al.	Examiner:	Unknown
Serial No.:	Unknown	Group Art Unit:	Unknown
Filed:	Herewith	Docket:	1565.066US1
Title:	TECHNIQUES FOR MANAGING SECURE COMMUNICATIONS		

INFORMATION DISCLOSURE STATEMENT

MS Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(b), it is believed that no fee or statement is required with the Information Disclosure Statement.

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

HASHEM MOHAMMAD EBRAHIMI ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(513) 942-0224

Date 1-06-04

By

Joseph P. Mehrle
Joseph P. Mehrle
Reg. No. 45,535

"Express Mail" mailing label number: EV332567541US

Date of Deposit: January 6, 2004

This paper or fee is being deposited on the date indicated above with the United States Postal Service pursuant to 37 CFR 1.10, and is addressed to The Commissioner for Patents, Mail Stop Patent Application, P.O.Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	<i>Complete if Known</i>	
	Application Number	Unknown
	Filing Date	Even Date Herewith
	First Named Inventor	Ebrahimi, Hashem
	Group Art Unit	Unknown
	Examiner Name	Unknown
Sheet 1 of 2	Attorney Docket No: 1565.066US1	

US PATENT DOCUMENTS						
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-5,550,984	08/27/1996	Gelb, Edward J.	395	200.17	12/07/1994
	US-5,553,239	09/03/1996	Heath, David M., et al.	395	187.01	11/10/1994
	US-5,657,390	08/12/1997	Elgamal, Taher , et al.	380	49	08/25/1995
	US-5,673,322	09/30/1997	Pepe, David M., et al.	380	49	03/22/1996
	US-5,757,924	05/26/1998	Friedman, Aharon , et al.	380	49	09/18/1995
	US-5,768,271	06/16/1998	Seid, Howard A., et al.	370	389	04/12/1996
	US-5,805,803	09/08/1998	Birrell, Andrew D., et al.	395	187.01	05/13/1997
	US-5,825,890	10/20/1998	Elgalmal, Taher , et al.	380	49	07/01/1997
	US-5,913,025	06/15/1999	Higley, DeeAnne B., et al.	395	187.01	11/14/1996
	US-5,913,825	06/15/1999	Higley, DeeAnne B., et al.	395	187.01	11/14/1996
	US-5,963,915	10/05/1999	Kirsch, Steven T.	705	26	02/21/1996
	US-5,991,810	11/23/1999	Shapiro, Mark L., et al.	709	229	08/01/1997
	US-6,029,245	10/05/1999	Scanlan, Thomas K.	705	26	02/21/1996
	US-6,192,477	02/20/2001	Corthell, David	713	201	02/02/1999
	US-6,199,165	03/06/2001	Grunner, Ove	713	201	03/31/1998

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"A New Management and Security Architecture for Extranets", http://www.aventail.com/index.phtml/solutions/white_papers/aec_wp.phtml , (1196-1999), 1-14	
		"CMS Proxy Server - White Paper", http://csm.alcyonis.fr/proxies.htm , (1995-1998), 1-13	
		"CSM Proxy Server, the Ultimate Gateway to the Internet!", http://www.csm-usa.com/white/gateway.htm , no later than 08/04/1998, 1-5	

EXAMINER

DATE CONSIDERED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	Unknown
Filing Date	Even Date Herewith
First Named Inventor	Ebrahimi, Hashem
Group Art Unit	Unknown
Examiner Name	Unknown

Sheet 2 of 2

Attorney Docket No: 1565.066US1

	"How the Proxy Works", http://gopher.texshare.utexas.edu/Current/AccessMeet/accessproxy/how.html , (07/22/1998), 1-2	
	"Info needed to write https proxy", http://www.netsys.com/firewalls-9604/0406.html , (April 11, 1996), 1	
	"Microsoft Proxy Server", http://www.microsoft.com/proxy/guide/whatsnew.asp?a=2&B=1 , no later than 08/04/1998, 1-4	
	"Microsoft Proxy Server Proxy vs. Border Manager", http://microsoft.com/proxy/comparisons/bulletin.asp?A=4&B=4 , (1998), 1-8	
	"NetSafeV3.0 The Firewall Solution from Siemens Nixdorf", http://www.swi.sni.be/NetSafe.htm , (05/31/1997), 1-3	
✓	"Netscape Proxy Server Administrator's Guide Version 3.5 for Unix", http://developer.netscape.com/docs/manuals/proxy/adminux//contents.htm , (1997),	
	"OWF Basics", http://www.omnigroup.com/MailArchive/OmniWeb-dev/Current/0002.html , (1997), 1-2	
	"r3 CypherClient", http://www.r3.ch/products/cypher/cypherclient.html , (1998), 1-4	
	"SUBMISSION: HTTPS v1.0 PAKage for OmniWeb 3x (Rhapsody)", http://www.plsys.co.uk/MailingLists/uk-next-announce.msg00251.html , (01/24/1998),	
	"Virtual Private Networking: An Overview", Microsoft http://www.microsoft.com/workshop/sever/feature/vpnovw.asp , no later than 02/25/1998,	
	"Webroute 1.3.0", http://www-miaif.lip6.fr/willy/pub/webroute/v1.3/untared/CHANGES , (1997), 1-2	
	"WebSTAR Security Toolkit: Troubleshooting", http://www.starnine.com/support/ga/webstarssl/ssltroubleshoot.html , 1-2	
	ELGAMAL, TAHER, et al., "Securing Communications on the Intranet and Over the Internet", http://www.netscape.com/newsref/ref/128bit.html , (July, 1996), 1-12	
	FEIBEL, WERNER, "Novell's Complete Encyclopedia of Networking", San Jose, CA : Alameda, CA : Novell Press ; Sybex, (1995), 625-630	
	FERGUSON, PAUL, et al., "What is a VPN?", Ferguson & Hutson, Revision 1 , (April 1998), 1-22	
	SCHNEIDER, BRUCE, "Applied Cryptography Protocols, Algorithms, and Source Code in C", New York : Wiley, (1994), 436-437	
	TANENBAUM, ANDREW S., "Computer Networks", Upper Saddle River, N.J. : Prentice Hall PTR, 3d Edition, (1996), 28-29, 396-417, 601-621, and 681-695	
	ZHONG, QUN, et al., "Security Control for COTS Components", Computer , 31(6), (June 1998), 67-73	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached